

Substitute form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	To Be Assigned
				Filing Date	Concurrently
				First Named Inventor	Gerald H. Negley
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	5308-386

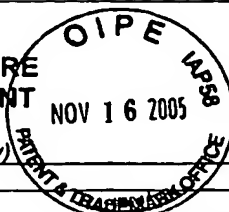
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
W	1	US-2003/0006418	A1	Emerson et al.		01/09/2003
	2	US-2002/0123164	A1	Slater, Jr. et al.		09/05/2002
	3	US-6,252,254	B1	Soules et al.		06/26/2001
	4	US-6,201,262	B1	Edmond et al.		03/17/2001
	5	US-6,187,606	B1	Edmond et al.		02/13/2001
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	7	US-6,075,200		O'Neill		06/13/2000
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	26	US-4,711,972		O'Neill		12/08/1987
	27	US-4,545,366		O'Neill		10/08/1985
	28	US-4,154,219		Gupta et al.		05/15/1979

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
W	29	Leung, <i>Molded Chip Fabrication Method and Apparatus</i> , 10/666,399, 09/18/2003	
	30	Slater, Jr. et al., <i>Phosphor-Coated Light Emitting Diodes Including Tapered Sidewalls and Fabrication Methods Therefor</i> , 10/659,241, 09/09/2003	
	31	Negley, et al., <i>Transmissive Optical Elements Including Transparent Plastic Shell Having a Phosphor Dispersed Therein, and Methods of Fabricating Same</i> , 10/659,240, 09/09/2003	
	32	Negley et al., <i>Solid Metal Block Mounting Substrates for Semiconductor Light Emitting Devices, and Oxidizing Methods for Fabricating Same</i> , 10/659,108, 09/09/2003	
	33	Loh, <i>Power Surface Mount Light Emitting Die Package</i> , USSN 10/446,532, 05/27/2003	

Examiner Signature	Long Pham	Date Considered	6/29/06
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/811,598
		Filing Date	03/29/2004
		First Named Inventor	Gerald H. Negley
		Group Art Unit	2879
		Examiner Name	Bumsuk Won
Sheet	1 of 1	Attorney Docket Number	5308-386



U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
LP	1.	US-2004/0130912	A1	Miyashita	07/08/2004
	2.	US-2003/0209714	A1	Taskar et al.	11/13/2003
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U.S. PATENT APPLICATIONS				
Examiner Initials*	Cite No.	U.S. Serial No.	Name of Applicant of Cited Document	Date of Filing of Cited Document MM-DD-YYYY
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		US-		

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Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office	Number	Kind Code (if known)		
	6.	WO	2003/007663	A1	Trustees of Princeton University	01/23/2003
	7.	JP	11251638		Yamaha Corp.	09/17/1999
	8.	JP	08116094		Shichizun Denski:KK	05/07/1996
	9.	JP	57076885		NEC Corp.	05/14/1982

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	10.	"Notification of Transmittal of The International Search Report and the Written Opinion of the International Searching Authority, or the Declaration", "International Search Report" and "Written Opinion of the International Searching Authority", PCT/US2005/001714, 11/03/2005	

Examiner Signature	<i>Long Pham</i>	Date Considered	<i>6/29/06</i>
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